

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 09086.0037-00000	Appln. No. 10/814,586
Applicant Chris W. CICHENAS et al.	
Filing Date April 1, 2004	Group: 3632



U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
AJW	942,852	12/07/09	BAEKELAND, Leo H.	—	—	
AJW	2,067,012	01/05/37	LOETSCHER, E.C.	—	—	
AJW	2,617,148	11/11/52	RYAN, A. O.	—	—	
AJW	2,702,408	02/22/55	HARTLAND, D.	—	—	
AJW	2,736,064	02/28/56	RUBIN, Louis C.	—	—	
AJW	2,744,013	05/01/56	DORLAND et al.	—	—	
AJW	2,759,222	08/21/56	BOWERS, H.E.	—	—	
AJW	2,832,993	05/06/58	COX, Thomas K.	—	—	
AJW	3,023,136	02/27/62	HIMMELHEBER et al.	—	—	
AJW	3,095,608	07/02/63	MUNSELL, D.D.	—	—	
AJW	3,096,297	07/02/63	PETERKIN et al.	—	—	
AJW	3,210,451	10/05/65	MANNING, et al.	—	—	
AJW	3,222,719	12/14/65	WAGNER et al.	—	—	
AJW	3,360,462	12/26/67	LITTLER, Francis W.	—	—	
AJW	3,461,496	08/19/69	WINSTEAD, T.W.	—	—	
AJW	3,817,680	06/18/74	GULTNER et al.	—	—	
AJW	3,888,810	06/10/75	SHINOMURA, Toshihiko	—	—	
AJW	3,915,910	10/28/75	NAKANO et al.	—	—	
AJW	4,003,866	01/18/77	PATURLE, René	—	—	
AJW	4,056,591	11/01/77	GOETTLER et al.	—	—	
AJW	4,065,413	12/27/77	MacINNIS et al.	—	—	
AJW	4,100,328	07/11/78	GALLAGHER, James A.	—	—	
AJW	4,209,561	06/24/80	SAWKO, Paul M.	—	—	
AJW	4,225,640	09/30/80	ERB, Robert A.	—	—	
AJW	4,228,116	10/14/80	COLOMBO et al.	—	—	

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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
AJW	4,279,790	07/21/81	NAKAJIMA, Yoshikazu			
AJW	4,323,625	04/06/82	CORAN et al.			
AJW	4,340,513	07/20/82	MOTEKI et al.			
AJW	4,364,979	12/21/82	DUTTON, Edward I.			
AJW	4,376,144	03/08/83	GOETTLER, Lloyd A.			
AJW	4,510,278	04/09/85	HOETJER, / J.			
AJW	4,528,309	07/09/85	GÖTE et al.			
AJW	4,717,742	01/05/88	BESHAY, Alphons D.			
AJW	4,783,493	11/08/88	MOTEGI et al.			
AJW	4,791,020	12/13/88	KOKTA, Bohuslav V.			
AJW	4,822,826	04/18/89	POMMIER et al.			
AJW	4,888,573	12/19/89	DUNN, Glen A.			
AJW	4,913,863	04/03/90	BURRAFATO et al.			
AJW	4,968,463	11/06/90	LEVASSEUR, Jean-Pierre			
AJW	4,999,390	03/12/91	BENTSEN, Aksel T.			
AJW	5,075,057	12/24/91	HOEDL, Herbert K.			
AJW	5,082,605	01/21/92	BROOKS et al.			
AJW	5,088,910	02/18/92	GOFORTH et al.			
AJW	5,096,046	03/17/92	GOFORTH et al.			
AJW	5,096,406	03/17/92	BROOKS et al.			
AJW	5,120,776	06/09/92	RAJ et al.			
AJW	5,164,432	11/17/92	DEHENNAU et al.			
AJW	5,213,021	05/25/93	GOFORTH et al.			
AJW	5,217,655	06/08/93	Schmidt, Erick			
AJW	5,268,074	12/07/93	BROOKS et al.			

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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
AJW	5,406,768	04/18/95	GUISEPPE et al.			
AJW	5,416,139	05/16/95	ZEISZLER, Dennis E.			
AJW	5,441,801	08/15/95	DEANER et al.			
AJW	5,480,602	01/02/96	NAGAICH, Laxmi			
AJW	5,486,553	01/23/96	DEANER et al.			
AJW	5,497,594	03/12/96	GIUSEPPE et al.			
AJW	5,502,088	03/26/96	HODODI, Andrei			
AJW	5,516,472	05/14/96	LAVER, Terry C.			
AJW	5,539,027	07/23/96	DEANER et al.			
AJW	5,585,155	12/17/96	HEIKKILA et al.			
AJW	5,652,467	07/29/97	ONOSE et al.			
AJW	5,695,874	12/09/97	DEANER et al.			
AJW	5,759,680	06/02/98	BROOKS et al.			
AJW	5,773,138	06/30/98	SEETHAMRAJU et al.			
AJW	5,780,519	07/14/98	IMOTO, Saburo			
AJW	5,783,125	07/21/98	BASTONE et al.			
AJW	5,788,901	08/04/98	BARNARD et al.			
AJW	5,827,462	10/27/98	BRANDT et al.			
AJW	5,827,607	10/27/98	DEANER et al.			
AJW	5,836,128	11/17/98	GROH et al.			
AJW	5,851,469	12/22/98	MULLER et al.			
AJW	5,866,264	02/02/99	ZEHNER et al.			
AJW	5,882,564	03/16/99	PUPPIN, Guiseppe			
AJW	5,932,334	08/03/99	DEANER et al.			
AJW	5,948,524	09/07/99	SEETHAMRAJU et al.			

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AJW	5,981,067	11/09/99	SEETHAMRAJU et al.			
AJW	5,981,631	11/09/99	RONDEN et al.			
AJW	5,985,429	11/16/99	PLUMMER et al.			
AJW	6,004,668	12/21/99	DEANER et al.			
AJW	6,007,656	12/28/99	HEIKKILA et al.			
AJW	6,011,091	01/04/00	ZEHNER, Burch E.			
AJW	6,015,611	01/18/00	DEANER et al.			
AJW	6,015,612	01/18/00	DEANER et al.			
AJW	6,035,588	03/14/00	ZEHNER et al.			
AJW	6,054,207	04/25/00	FINLEY, Mark D.			
AJW	6,103,791	08/15/00	ZEHNER, Burch E.			
AJW	6,106,944	08/22/00	HEIKKILA et al.			
AJW	6,117,924	09/12/00	BRANDT, Jeffrey R.			
AJW	6,122,877	09/26/00	HENDRICKSON et al.			
AJW	6,141,874	11/07/00	OLSEN, Carl James			
AJW	6,180,257	01/30/01	BRANDT et al.			
AJW	6,207,729	03/27/01	MEDOFF et al.			
AJW	6,210,792	04/03/01	SEETHAMRAJU et al.			
AJW	6,248,813	06/19/01	ZEHNER, Burch E.			
AJW	6,265,037	07/24/01	GODAVARTI et al.			
AJW	6,274,248	08/14/01	GOTO et al.			
AJW	6,280,667	08/28/01	KOENIG et al.			
AJW	6,280,799	08/28/01	OKABE et al.			
AJW	6,337,138	01/08/02	ZEHNER et al.			
AJW	6,342,172	01/29/02	FINLEY, Mark D.			

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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
AJW	6,344,268	02/05/02	STUCKY et al.			
AJW	6,344,504	02/05/02	ZEHNER et al.			
AJW	6,344,509	02/05/02	MIZUTANI, Yoshinobu			
AJW	6,357,197	03/19/02	SERINO et al.			
AJW	6,376,582	04/23/02	IWATA et al.			
AJW	6,379,815	04/30/02	KUSTERS, Wilhelmus Martinus Anthonius			
AJW	6,409,952	06/25/02	HACKER et al.			
AJW	6,420,034	07/16/02	TAKAHASHI et al.			
AJW	6,453,630	09/24/02	BUHRIS et al.			
AJW	6,461,743	10/08/02	TANZER et al.			
AJW	6,464,913	10/15/02	KORNEY, Arthur F., Jr.			
AJW	6,498,205	12/24/02	ZEHNER, Burch E.			
AJW	6,511,757	01/28/03	BRANDT et al.			
AJW	6,526,712	03/04/03	BROOKS et al.			
AJW	6,531,010	03/11/03	PUPPIN, Giuseppe			
AJW	6,569,540	05/27/03	PRESTON et al.			
AJW	6,579,605	06/17/03	ZEHNER, Burch E.			
AJW	6,586,503	07/01/03	GROHMAN, Martin			
AJW	6,586,504	07/01/03	ARCHULETTA et al.			
AJW	6,589,660	07/08/03	TEMPLETON et al.			
AJW	6,590,004	07/08/03	ZEHNER, Burch E.			
AJW	6,590,014	07/08/03	RUEDA, Paul			
AJW	6,617,376	09/09/03	KORNEY, Arthur F., Jr.			
AJW	6,632,863	10/14/03	HUTCHISON et al.			
AJW	6,680,090	01/20/04	GODAVARTI et al.			

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U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
AJW	6,682,789	01/27/04	GODAVARTI et al.			
AJW	6,682,814	01/27/04	HENDRICKSON et al.			
AJW	6,718,704	04/13/04	PLUMMER et al.			
AJW	6,722,082	04/20/04	PETERSON et al.			
AJW	D488,243 S	04/06/04	BABKA et al.			

U.S. PUBLISHED PATENT APPLICATIONS						
	Document Number	Publication Date	Name	Class	Sub Class	Filing Date
AJW	2001/0009309	07/26/01	TAGUICHI et al.			
AJW	2001/0019749	09/06/01	GODAVARTI, Shankar			
AJW	2001/0051242	12/13/01	GODAVARTI, Shankar			
AJW	2001/0051243	12/13/01	GODAVARTI, Shankar			
AJW	2002/0005602	01/17/02	JACOBSEN, William W.			
AJW	2002/0049266	04/25/02	YASUDA et al.			
AJW	2002/0091178	07/11/02	AMIN-JAVAHERI, Hossein			
AJW	2002/0092256	07/18/02	HENDRICKSON et al.			
AJW	2002/0106498	08/08/02	DEANER et al.			
AJW	2003/0004232	01/02/03	RUEDE, Paul			
AJW	2003/0030176	02/13/03	MONOVOUKAS et al.			
AJW	2003/0032702	02/13/03	MEDOFF et al.			
AJW	2003/0036589	02/20/03	ARCHULETTA et al.			
AJW	2003/0071389	04/17/03	MANNING et al.			
AJW	2003/0154662	08/21/03	BRUCHU et al.			
AJW	2003/0176538	09/18/03	WU et al.			

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
AJW	<i>Plastics Extrusion Technology and Theory</i> , Gerhard Schenkel, et al., (1963)
AJW	<i>Pipe and Tubing Dics</i> , Vol. 9, 9.3.1 - Calibrators, pp. 448-450
AJW	<i>Whittington's Dictionary of Plastics</i> , Lloyd R. Whittington, p. 154
AJW	<i>The Encyclopedia of Plastics Equipment</i> , Herbert R. Simonds (1964), pp. 273-274
AJW	<i>Plastics Waste - Recovery of Economic Value</i> , Jacob Leidner (1981) pp. iii, 28-29; 90-91; 148-149
AJW	<i>Plastics Extrusion Technology Handbook</i> , Sidney Levy, P.E., (1923) pp. 55, 90-106, 111, 152-153
AJW	<i>Mobil Oil Corporation v. Advanced Environmental Recycling Technologies, Inc.</i> ; Civil Action No. 92-351-JJF, 869 F. Supp. 251; 1995 U.S. Dist. LEXIS 7108; 32 U.S.P.Q.2D (BNA) 1187

Examiner /A. Joseph Wujciak III/	Date Considered 04/12/2006
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trade/k Office - U.S. Department of Commerce

IDS Form PTO/SB/08: Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/814,586
		Filing Date	April 1, 2004
		First Named Inventor	Chris W. CINENAS et al.
		Art Unit	3632
		Examiner Name	Unassigned
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U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials ¹	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AJW		US-6,865,849 B1	03/15/2005		

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
AJW		EP 0 031 635 A1	07/08/1981	Mobil Oil Corporation		
AJW		EP 0 128 038 A2	12/12/1984	Minnesota Mining and Manufacturing Company		
AJW		EP 0 235 794 B1	04/10/1991	Montedison S.p.A.		
AJW		EP 0 259 810 A2	03/16/1988	Inoue MTP Kabushiki Kaisha		
AJW		EP 0 259 810 B1	03/13/1991	Inoac Corporation		
AJW		EP 0 338 264 B1	08/12/1993	Erwin Behr GMBH & Co. KG		Abstract and Claims
AJW		EP 0 373 729 A2	06/20/1990	Shell Internationale Research Maatschappij B.V.		
AJW		EP 0 379 980 B1	01/15/1992	Hoechst Aktiengesellschaft		Abstract and Claims
AJW		EP 0 388 465 B1	08/03/1994	Kimberly-Clark Corporation		
AJW		EP 0 390 536 A2	10/03/1990	Plascon Technologies (Proprietary) Limited		
AJW		EP 0 407 996 A2	01/16/1991	Teijin Limited		
AJW		EP 0 407 996 B1	12/14/1994	Teijin Limited		
AJW		EP 0 420 256 A2	04/03/1991	Kimberly-Clark Corporation		
AJW		EP 0 420 256 B1	05/17/1995	Kimberly-Clark Corporation		
AJW		EP 0 421 957 A2	04/10/1991	Gallino Componenti Plastici S.p.A.		
AJW		EP 0 421 957 A3	07/10/1991	Gallino Componenti Plastici S.p.A.		
AJW		EP 0 433 686 B1	04/26/1995	Sumitomo Metal Industries, Ltd.		
AJW		EP 0 482 270 A1	04/29/1992	Polistock N.V.		
AJW		EP 0 483 716 B1	07/24/1996	Mitsubishi Chemical Corporation		
AJW		EP 0 496 687 A1/B1	07/29/1992	Bouchons A Champagne		Abstract and Claims
AJW		EP 0 497 335 A2	08/05/1992	Commer S.P.A.		
AJW		EP 0 497 335 B1	03/26/1997	Commer S.P.A.		
AJW		EP 0 504 002 B1	01/26/1996	Elf Atochem S.A.		Claims

IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Art Unit	3632
				Examiner Name	Unassigned
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AJW		EP 0 512 433 B1	07/30/1997	Hoechst Aktiengesellschaft		Claims
AJW		EP 0 526 353 B1	08/11/1995	Skis Rossignol S.A.		Abstract and Claims
AJW		EP 0 528 788 B1	07/23/1997	American Standard Inc.		
AJW		EP 0 552 918 A1	07/28/1993	General Electric Company		
AJW		EP 0 521 228 A1	01/07/1993	Smart Italia S.r.l.		
AJW		EP 0 559 794 B1	08/08/1997	H.B. Fuller Licensing & Financing, Inc.		
AJW		EP 0 559 796 B1	08/14/1996	H.B. Fuller Licensing & Financing, Inc.		
AJW		EP 0 585 965 A1	03/09/1994	Teijin Limited		
AJW		EP 0 590 748 B1	01/21/1998	Lignotock GmbH		Claims
AJW		EP 0 599 145 B1	01/29/1997	Continental Aktiengesellschaft		Claims
AJW		EP 0 607 968 A1	07/27/1994	Empe-Werke Ernst Pelz GmbH		Abstract
AJW		EP 0 607 968 B1	09/22/1999	EMPE Autoteile GmbH		Abstract and Claims
AJW		EP 0 611 340 B1	10/27/1997	Tesch Günter		Claims
AJW		EP 0 634 537 B1	12/15/1999	Gehring, Manfred, Dr.		Claims
AJW		EP 0 639 442 A1	02/22/1995	ASAA, Inc.		
AJW		EP 0 642 905 B1	12/04/1996	BASF Aktiengesellschaft		Claims
AJW		EP 0 655 310 A1	05/31/1995	Misawa Homes Co. Ltd.		
AJW		EP 0 669 195 B1	06/09/1999	Erlenbach GmbH		Claims
AJW		EP 0 679 120 B1	10/23/2002	Strandex Corporation		
AJW		EP 0 687 538 B1	03/27/2002	Otsuka Kagaku Kabushiki Kaisha		
AJW		EP 0 693 025 B1	07/16/1997	Green, Christopher		
AJW		EP 0 727 299 A3	03/05/1997	ICMA San Giorgio S.p.A.		
AJW		EP 0 727 299 B1	10/27/1999	ICMA San Giorgio S.p.A.		
AJW		EP 0 730 521 B1	10/14/1988	Arnds, Dieter		Claims
AJW		EP 0 747 205 A3	07/16/1997	Andersen Corporation		
AJW		EP 0 747 205 B1	08/14/2002	Andersen Corporation		
AJW		EP 0 766 619 B1	09/22/1999	Milliken Europe N.V.		Claims
AJW		EP 0 804 331 B1	08/21/2002	E. Khashoggi Industries, LLC		
AJW		EP 0 807 510 A1	11/19/1997	Marley Mouldings Inc.		
AJW		EP 0 807 510 B1	02/20/2002	Marley Mouldings LLC		
AJW		EP 0 825 921 B1	04/28/1988	Sound Pipe Limited		
AJW		EP 0 831 987 B1	03/12/2003	Scrimp Systems, L.L.C.		
AJW		EP 0 857 556 A1	08/12/1998	G.O.R. Applicazioni Speciali		

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				Art Unit	3632
				Examiner Name	Unassigned
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				S.p.A.		
AJW		EP 0 874 722 B1	12/15/1999	Chartebois Technologies Inc.		
AJW		EP 0 878 288 A1	11/18/1998	Adolf Würth GmGH & Co. KG		Abstract and Claims
AJW		EP 0 878 288 B1	08/01/2001	Adolf Würth GmGH & Co. KG		Claims
AJW		EP 0 883 482 B1	04/26/2000	Formtech Enterprises, Inc.		
AJW		EP 0 895 847 B1	02/06/2002	Priebs, Friedrich		Claims
AJW		EP 0 904 928 A1	03/31/1999	Cho, Moon Soo		
AJW		EP 0 906 480 B1	05/10/2000	Dingler, Gerhard		Claims
AJW		EP 0 913 243 A1	05/06/1999	Namba Press Works Co., Ltd.		
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AJW		EP 0 927 628 B1	06/25/2003	Toray Industries, Inc.		
AJW		EP 0 979 165 B1	03/03/2004	Jallatte S.A.		Claims
AJW		EP 0 931 635 A1	07/28/1999	Andersen Corporation		
AJW		EP 0 963 285 B1	04/03/2002	Johnson Control S.P.A.		
AJW		EP 0 982 110 A1	03/01/2000	Du-Pont-MRC Co., Ltd.		
AJW		EP 1 102 667 B1	11/20/2002	Windsor Technologies Limited		
AJW		EP 1 109 657 B1	03/19/2003	Bonus Energy A/S		
AJW		EP 1 123 184 B1	12/18/2002	Vantico AG		
AJW		EP 1 128 947 B1	06/05/2002	Fraunhofer-Gesellschaft		Claims
AJW		EP 1 134 069 A1	09/19/2001	Toray Industries, Inc.		
AJW		EP 1 159 117 B1	10/15/2003	TTG Technology Transfer GmbH		
AJW		EP 1 231 046 A2	08/14/2002	Eads Construcciones Aeronauticas S. A.		
AJW		EP 1 234 652 A1	08/28/2002	L. B. Plastics Limited		
AJW		EP 1 250 995 A1	10/23/2002	Sekisui Chemical Co., Ltd.		
AJW		EP 1 268 164 B1	08/13/2003	Proteus GmbH		Claims
AJW		EP 1 304 211 A1	04/23/2003	Scrimp Systems, L.L.C.		
AJW		EP 1 304 211 B1	04/28/2004	TPI Technology, Inc.		
AJW		EP 1 308 259 A2	05/07/2003	Northern Engraving Corporation		
AJW		EP 1 325 804 A1	07/09/2003	Talhin/T. Corp.		
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AJW		EP 1 415 792 A2	05/06/2004	Z Corporation		
AJW		WO 91/17040 A1	11/14/1991	American Standard Inc.		
AJW		WO 95/13179 A1	05/18/1995	Strandex Corporation		

IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known		
				Application Number		10/814,586
				Filing Date		April 1, 2004
				First Named Inventor		Chris W. CINENAS et al.
				Art Unit		3632
				Examiner Name		Unassigned
Sheet	4	of	4	Attorney Docket Number		09086.0037-00000

FOREIGN PATENT DOCUMENTS						
AJW		WO 96/12606 A1	05/02/1996	E. Khashoggi Industries		
AJW		WO 99/06200 A1	02/11/1999	Mannesmann AG		Abstract
AJW		WO 00/46010 A1	08/10/2000	U.S. Plastic Lumber IP Corp.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶

Examiner Signature	/A. Joseph Wujciak III/	Date Considered	04/12/2006
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.